

## United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov



**CONFIRMATION NO. 4046** 

**Bib Data Sheet** 

SERIAL NUMBER 09/840,583		FILING DATE 04/23/2001 RULE		705	GROUP ART UNIT 2165		UNIT	ATTORNEY DOCKET NO. 3001 P 015	
	-	New York, NY; , Chicago, IL;							
WHICH IS	A CC	TION IS A CON OF 09/ ON OF 09/140,868 08/2 ON OF 08/542,431 10/1	7/1998 F 2/1995 F	PAT 6,088,685		€M2	}		
IF REQUIRED, F ** 05/17/2001	OREI	GN FILING LICENSE	GRANT	ED SMALL E	NTITY	***			
Foreign Priority claimed				STATE OR COUNTRY NY	DRA	HEETS TOTAL AWING CLAIM 3 61		MS	INDEPENDENT CLAIMS 6
ADDRESS Edward L. Bishop Wallenstein & Wa 53rd Floor 311 South Wacke Chicago ,IL 6060	igner er Driv	/e							
TITLE Open end mutual	fund	securitization process							
RECEIVED	FEES: Authority has been given in Paper Noto charge/credit DEPOSIT ACCOUNT Nofor following:				All Fees  1.16 Fees (Filing)  1.17 Fees (Processing Ext. of time)  1.18 Fees (Issue)  Other  Credit				